

## Preliminary report: one of the PD-1 gene variants may be a valuable marker for colorectal cancer

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### Abstract

**Introduction:** Programmed death-1 (PD-1) is an immunosuppressive molecule, which has importance for tumor-cell-mediated immune escape. We investigated the role of PD-1.5 C/T gene polymorphisms in the susceptibility and progression of colorectal cancer (CRC).

**Material and methods:** In this study, the PD-1.5 C/T polymorphism was investigated in 99 CRC patients and 150 healthy controls by the nested polymerase chain reaction-restriction fragment length polymorphism method.

**Results:** The distributions of PD-1.5 C/T genotypes and alleles were in agreement with Hardy-Weinberg equilibrium in controls ( $p > 0.05$ ) but not in CRC patients ( $p = 0.02$ ). We found a statistically significant difference between CRC patients and controls for the genotypic distribution of PD-1.5 C/T genotypes ( $p = 0.003$ ) and also for alleles ( $p = 0.004$ ). The T allele frequency was higher in patients than controls ( $p = 0.001$ ). The patients who had a C allele with distance metastasis had higher frequency of heterozygous CT genotype of PD-1.5 C/T polymorphism than those with no metastasis ( $p < 0.001$ ). We also detected higher frequency of CC genotype in patients who had angiolymphatic invasion ( $p = 0.043$ ). The patients who had a mucinous component had higher frequency of the T allele than those with absence of the mucinous component ( $p = 0.023$ ).

**Conclusions:** Our results showed significant associations between PD-1 genotypes and CRC susceptibility and progression of the disease.

**Key words:** PD-1.5 C/T, polymorphism, colorectal cancer.

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### Introduction

Colorectal cancer (CRC) is one of the most common cancers among people worldwide, following lung and breast cancers with almost 1.4 million new cases in 2012 [1]. One in 22 men and one in 24 women will be diagnosed with CRC in their lifetime. An estimated 27,150 men and 23,110 women will die from CRC in 2017 [2].

Patient survival is extremely dependent on the tumor stage at the time of diagnosis. At an early stage just 40% of CRC cases are diagnosed and nearly 50% of presently diagnosed patients will develop into metastatic cancer [3]. Metastatic CRC remains the fourth most common cause of death from the disease of cancer [4]. In spite of the recent progress in diagnosis and treatment, including the introduction of targeted therapies, the prognosis of these advanced CRC cases remains poor [5]. Improvement in molecular biology has helped clarify some of the genetic mechanisms related to colorectal carcinogenesis [3].

Maintaining the totality of genomic DNA is necessary for correct cell function. Genome disorders can predispose to the development of several malignancies, including CRC. The CRC can be initiated by DNA damage induced by chemical agents, smoking, alcohol consumption, and fat metabolism [6, 7].

Aggressive tumors have an ability to survive and proliferate in difficult environmental conditions [8]. Tumor release of factors supports the formation of angiogenesis and disrupts nearby tissue architecture, which encourages the invasion and metastatic spread. The role of the immune system are known to destroy cancer cells by helping innate effectors or some specific defensive cells or to allow for the presentation of tumor antigens to T cells [9]. Immune organization of aggressive cancerous growth is often raised by tumor expression of ligands that hamper effector immune responses throughout the stimulation of immune checkpoints.

One of such checkpoints is programmed death-1 (PD-1). Programmed death-1 is considered to have a central role in the tumor microenvironment where tumor-specific T cells continue to keep their antitumor functions. Programmed death-1, expressed by activated T cells, is an inhibitory cell surface receptor involved in the regulation of T cell activity during immunity and tolerance [10].

The programmed death 1 (PD-1) gene is located on human chromosome 2 on a segment (2q33–q37) encoding a 50–55 KD a type I transmembranous glycoprotein [11], and containing many other regulatory genes, for instance CTLA-4 and CD28 [12–15]. The PD-1 receptor provides an inhibitory signal, and when it interacts with its two ligands PD-L1 and PD-L2, it can powerfully hamper both proliferation and cytokine production by CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocyte glycoprotein [16, 17]. Programmed death-1 has been suggested to be implicated in influencing the cancer cells' evasion of the host immune system after contact with its two ligands. Moreover, polymorphisms or modification in this gene can have an effect on its task. For instance, single nucleotide polymor-

phism (SNP) is one of the most important factors affecting cancer susceptibility. In this regard, the latest studies have focused on the information that PD-1 polymorphisms are linked with susceptibility to many types of cancer, for instance, gastric cancer [18], gastric cardia adenocarcinoma [19], colon cancer [20], breast cancer [21, 22], esophageal cancer [23], hepatocellular carcinoma [24] and cervical cancer [25]. Immunohistochemical investigation has shown a connection between PD-1 expression and cancer, including CRC [26, 27]. However, the relation between PD-1 polymorphisms and CRC was not well known in various populations.

One of the important polymorphisms is PD-1.5 C/T, situated in exon 5 (position 7785) [28]. There are different studies relevant to PD-1 polymorphism and autoimmune disease [28–30] but there is a small number of studies that show the association between PD-1.5 C/T polymorphism and cancer [21, 22, 31]. The fact is that the exact role of PD-1.5 C/T gene polymorphisms in cancer is still unexplainable. Therefore, this subject has come into the focus of researchers' interest to fully understand its effect on cancer and other diseases.

We aimed to analyze the distribution of genotypes and alleles resulting from polymorphism at position PD-1.5 C/T in CRC patients among the Turkish population, and compare them to those of healthy individuals. Moreover, the relations between this single nucleotide polymorphism and clinicopathological parameters of the patients were studied to wholly understand the role of PD-1.5 C/T gene polymorphisms in susceptibility to and progression of CRC.

## Material and methods

### Participants

The mean ages of patients and the control group were (62.06 ±12.8 years) and (54.50 ±16.47 years) years, respectively. For the patient group, diagnosis and cancer status were confirmed by standardized questionnaire, pathological records and medical records obtained from Istanbul Education and Research Hospital (Samatya). The control subjects were randomly selected among healthy volunteers. The American Joint Committee on Cancer (AJCC) TNM classification was used to define the stage of CRC. Tumor staging was categorized as T1, T2, T3 and T4 related with localization of the tumor. The Ethics Committee of Istanbul Education and Research Hospital approved our study. The protocol of the study was compatible with the World Medical Association Declaration of Helsinki (Ethical Principles for Medical Research Involving Human Subjects).

## DNA Isolation

Genomic DNA was extracted from peripheral whole blood containing EDTA according to the salting-out technique. DNA was isolated from the blood leukocytes in 10 ml of EDTA by the method of Miller *et al.* based on sodium dodecyl sulfate lysis, ammonium acetate extraction, and ethanol precipitation [32].

## SNP detection

Genotyping was performed by the polymerase chain reaction (PCR) and RFLP. The PCR reactions were carried out using a “MyCycler Thermal Cycler” from Bio-Rad. For PCR amplification, the primers for PD-1.5 C/T polymorphism were: forward 5'-GGACAGCTCAGGTAAGCAG-3' and reverse 5'-AAGAGCAGTGCCATCCTCAG-3'. For detection of the PD-1.5 C/T RFLP, 20 ng genomic DNA was amplified with 2.5 µl 10 X PCR buffer, 1 µl of 3 mM MgCl<sub>2</sub>, 1 µl of 0.2 mM dNTP, 0.5 µl of 0.2 mM of each primer and 0.2 µl of Taq polymerase (Fermentas, Lithuania) in a 25 µl reaction volume. The PCR conditions for PD-1.5 C/T were initially a melting step of 5 min at 95°C, then 35 cycles of 45 s at 94°C, 45 s at 57°C and 45 s at 72°C, and finally an elongation step of 5 min at 72°C. The PCR products (213 bp) were digested with *PvuII* (Invitrogen; Thermo Fisher Scientific, Waltham, MA, USA) restriction enzyme (37°C for 1 h) and electrophoresized on 3% agarose gels and stained with ethidium bromide. Genotypes were determined as CC (213 bp), CT (213,157,56 bp), TT (157,56 bp) for PD-1.5 C/T polymorphism.

## Statistical analysis

Statistical analysis was performed using the SPSS software package (revision 21.0; SPSS Inc., Armonk, NY, USA). Descriptive statistics include the mean, standard deviation, and percentages. Mean values of differences in clinical parameters between patients and controls were compared with unpaired Student's *t*-test and expressed as the mean ± SD. The Hardy-Weinberg equilibrium was tested for all polymorphisms. Allele frequencies were estimated by gene counting methods. For comparing the distributions and differences of genotypes and alleles between patients and controls, the  $\chi^2$  test was used. Values of *p* less than 0.05 were considered as statistically significant.

## Results

We determined PD-1.5 C/T gene polymorphism in 99 patients with CRC and 150 healthy controls. The study groups (patients and controls) had similar distributions of age, gender, family history, smoking and alcohol consumption. There was no

notable age difference between the cases (62.06 ±12.8 years) and the controls (54.50 ±16.47 years). Characteristics of patients with CRC and controls regarding age, gender, and clinicopathological parameters (tumor location, tumor stage, lymph nodal metastasis, distance metastasis, angiolymphatic invasion, perineural invasion, the presence of mucinous component) are demonstrated in Table I. Table II shows the genotype and allele frequencies of PD-1.5 C/T polymorphism in CRC patients (*n* = 99) and controls (*n* = 150). The distributions of PD-1.5 C/T genotypes and alleles were in agreement with Hardy-Weinberg equilibrium in controls (*p* > 0.05) but not in CRC patients (*p* = 0.02). We found a statistically significant difference between CRC patients and controls for the genotypic distribution of PD-1.5 C/T genotypes (*p* = 0.003) and also for alleles (*p* = 0.004). The genotypic distributions of PD-1.5 C/T polymorphism comparing clinicopathological characteristics of patients with CRC are summarized and shown in Table III. There was found a higher frequency of CT genotype in CRC patients and this value was statistically significance (*p* = 0.016). The T allele frequency was higher in patients than controls (*p* = 0.001). There was a significant difference between the distant metastasis status and the distribution of PD-1.5 C/T genotypes (*p* = 0.002). The patients who have distant metastasis have higher frequency of C allele and CT genotypes than those with no metastasis (*p* = 0.016), The patients who have a mucinous component have higher frequency of the T allele than those with absence of the mucinous component (*p* = 0.023). Moreover, there was a significant difference between the presence of angiolymphatic invasion and PD-1.5 C/T genotypes (*p* = 0.023). The patients who have angiolymphatic invasion have higher frequency of the C allele than those with absence of angiolymphatic invasion (*p* = 0.006). Another finding of our study is higher frequency of CC genotype in patients who have angiolymphatic invasion than those with no lymphatic invasion (*p* = 0.043).

## Discussion

Preclinical and clinical studies recently focus on the modulation microenvironment that many researchers try to suggest new approaches on some molecules which are involved in tumor-specific T-cell response in cancer. Therefore, a logical link can be established between polymorphisms of immune response-related genes that regulate T lymphocyte activation, and proliferation might contribute to cancer pathogenesis. The PD-1 molecule, a receptor of the CD28 family, is a well-characterized negative regulator of T cells through its delivery of inhibitory signals [25]. Recent studies documented that PD-1 may be a candidate to

become a biomarker gene for genetic susceptibilities of individuals to various types of cancer [33]. Accordingly, in the present study, we aimed to evaluate the possible association of PD-1.5 C/T polymorphisms with the clinical and pathological parameters of CRC. The prevalence of the heterozygous genotype of PD-1.5 C/T for our study was similar to the data reported by Li *et al.* in a Chinese population [25]. Furthermore, in another similar study on patients with non-small cell lung cancer (NSCLC), CT genotype frequency in healthy controls was reported as 31.8% [34] and this was in accordance with Iranians, in whom it was 31% [35]. In addition, 40% prevalence of the PD-1.5 C/T heterozygous genotype was reported in the Mexicans [36]. In the present report, the genotype distribution of PD-1.5 C/T was 42% CC, 46% CT, and 12% TT in controls, which was in accordance with the previous reports. At this locus, the C allele had significantly higher prevalence among the controls than the patients. Haghshenas *et al.* reported low prevalence of TT genotype in the whole population for their study on thyroid cancer [37]. Similarly, we found lower prevalence of TT genotype in controls than patients.

The present study is one of the few studies investigating PD-1.5 C/T polymorphism and risk of CRC. According to our data, CT genotype in patients was more frequent than in control individuals. For the relation between CT genotype and CRC, our statistical analysis demonstrated a significant association, as did that of Mojtahedi *et al.* They suggested that CT genotype is probably a risk factor for this disease in Iranian patients [20]. Three meta-analyses have been conducted to evaluate the potential association between PD-1.5 C/T polymorphism and the risk of cancer [38–40]. The results suggested that the T allele of PD-1.5 polymorphism significantly reduces cancer susceptibility. Also, Lin *et al.* indicated the association of the CT genotype and T allele with susceptibility to rheumatoid arthritis (RA). It was suggested that the T allele might be associated with increased activity of T cells [41]. In the present study, the T allele for PD-1.5 C/T was more frequent in patients than controls, which was in accordance with the previous reports. This result was particularly due to the heterozygous CT genotype. According to that, our study supported the correlation of PD-1.5 C/T polymorphism with an increased possibility of developing CRC. However, C allele frequency was more frequent in breast cancer patients than control individuals in the Chinese population [21]. Studies confirm that there is a large diversity in the PD1.5 genotype and allele frequency, depending on the variety of ethnic groups, tumor location, type of disease and other clinical factors.

According to our data, some clinical characteristics of the patients including age and gender

**Table I.** Characteristics of patients with CRC and controls

Parameter	Patients n (%)	Controls n (%)
No. of individuals	99	150
Age, mean $\pm$ SE [years]	62.06 $\pm$ 1.31	54.50 $\pm$ 4.4
Gender:		
Male	50 (50.5)	87 (58)
Female	49 (49.5)	63 (42)
Tumor location <sup>a</sup> :		
Left colon	12 (12.5)	–
Right colon	21 (21.9)	–
Transverse colon	7 (7.3)	–
Sigmoid	33 (34.4)	–
Caecum	5 (5.2)	–
Rectum	18 (18.8)	–
Tumor stage:		
I	3 (3)	–
II	12 (12.1)	–
III	52 (52.5)	–
IV	32 (32.3)	–
Lymph node status:		
N0	41 (41.4)	–
N1	35 (35.4)	–
N2	19 (19.2)	–
N3	4 (4)	–
Distant metastasis:		
Present	37 (37.4)	–
Absent	62 (62.6)	–
Angiolymphatic invasion:		
Present	18 (18.2)	–
Absent	81 (81.8)	–
Perineural invasion:		
Present	34 (34.39)	–
Absent	65 (65.7)	–
Histological grade <sup>b</sup> :		
Well differentiated	22 (26.5)	–
Moderately differentiated	38 (45.8)	–
Poorly differentiated	23 (27.7)	–
Mucinous component <sup>c</sup> :		
Positive	27 (38)	–
Negative	44 (62)	–

n – number of individuals, SD – standard deviation, SE – standard error. <sup>a</sup>Data on tumor localization, histological grade and the presence of mucinous component were not available for all patients.

**Table II.** Genotype and allele frequencies of PD-1.5 C/T polymorphism in CRC patients (n = 99) and controls (n = 150)

PD-1.5 C/T genotypes and alleles	CRC patients n (%)	Controls n (%)	P-value
Genotype frequency:			0.003
CC (%)	21 (21.2)	63 (42)	
TT (%)	17 (17.2)	18 (12)	
CT (%)	61 (61.6)	69 (46)	
Allele frequency:			0.004
C (%)	103 (52.0)	195 (65)	
T (%)	95 (48.0)	105 (35)	

n – number of participants, p – p-value, chi-square ( $\chi^2$ ) test is used between groups.

**Table III.** Distribution of PD-1.5 C/T genotypes, comparing clinicopathological parameters

Parameter	PD-1.5		
	CC n (%)	TT n (%)	CT n (%)
Gender:			
Female	11 (22.4)	6 (12.2)	32 (65.3)
Male	10 (20)	11 (22)	29 (58)
Tumor stage:			
III/IV	15 (17.9)	15 (17.9)	54 (64.3)
I/II	6 (40)	2 (13.3)	7 (46.7)
Lymph node status:			
N+	10 (17.2)	9 (15.5)	39 (67.2)
N-	11 (26.8)	8 (19.5)	22 (53.7)
Metastasis:			
Present	4 (10.8)	2 (5.4)	31 (83.8)
Absent	17 (27.4)	15 (24.2)	30 (48.4)
Angiolymphatic inv.:			
Present	7 (38.9)	5 (27.8)	6 (33.3)
Absent	14 (17.3)	12 (14.8)	55 (67.9)
Perineural inv.:			
Present	8 (23.5)	4 (11.8)	22 (64.7)
Absent	13 (20)	13 (20)	39 (60)
Histological grade:			
Good to moderate differentiation	13 (21.7)	10 (16.7)	37 (61.7)
Poor differentiation	22 (8.7)	5 (21.7)	16 (69.6)
Mucinous component:			
Positive	1 (3.7)	7 (25.9)	19 (70.4)
Negative	11 (25)	7 (15.9)	26 (59.1)

n – number of patients, chi-square ( $\chi^2$ ) test is used between groups, inv – invasion.

were not associated with the PD-1.5 C/T polymorphism, which supports some studies that have investigated the importance of 1.5 C/T genotype distribution and allelic frequencies for cancer. In spite of that, the patients who have a late tumor stage have higher frequency of the T allele than those with early stage tumor, although this difference was not statistically significant. However, we observed statistically significant associations between the PD1.5 C/T genotype frequencies and presence of distant metastasis, mucinous status, and angiolymphatic invasion. It is clearly observed that angiolymphatic invasion and angiogenesis are related to pathological features and other tumor characteristics, e.g. distant metastasis and lymph node metastasis are usually associated with poor prognosis [42]. In our study, carrying the C allele and CT heterozygote genotype of PD-1.5 C/T polymorphism was more frequent in the patients who have distant metastasis than those with no metastasis. Moreover, the patients who have angiolymphatic invasion have higher frequency of the C allele and CC genotype of PD-1.5 C/T polymorphism than those with absence of angiolymphatic invasion. Furthermore, the T allele was more frequent in patients who have a mucinous component than those with absence of a mucinous component.

In conclusion, our study, which is one of the few studies that have investigated of PD-1.5 C/T polymorphism and risk of CRC, suggested that PD-1.5 C/T polymorphism may be associated with the risk and progression of colorectal cancer in our study group. The results of the study are consistent with the literature. Also our findings regarding the association of PD-1.5 C/T polymorphism and some pathological data, which are tumor grade, distant metastasis, mucinous status, and angiolymphatic invasion, should be extended to a larger sample size to clearly identify the effect of PD-1.5 C/T polymorphism on progression in CRC.

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### Conflict of interest

The authors declare no conflict of interest.

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